



Application Ser. No. 10/605,638
Filed: 10/15/2003

Inventor: RAHMAN, Anis
Attorney Docket No. 23634-0001-U1

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Continuation re Application of RAHMAN, Anis :
Application No.: 10/605,638 : Group Art Unit: 2633
Application Filed: October 15, 2003 : Examiner KIANNI, KAVEH C
For: REFLECTIVE ARRAYED WAVEGUIDE GRATING

PRELIMINARY AMENDMENT
UNDER 37 CFR §1.115

Mail Stop AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

A) INTRODUCTORY COMMENTS

The Amendments to the Specification section begins on page 2 of this Preliminary Amendment.

The Amendments to the Claims section begins on page 3 of this Preliminary Amendment and any amendments to the claims are reflected in the listing of the claims included therein.

The Amendments to the Drawings section begins on page 9 of this Preliminary Amendment and any amendments to the drawings include both an attached replacement sheet and an annotated sheet showing any changes.

The Remarks/Arguments section begins on page 10 of this Preliminary Amendment.

Handwritten notes:
10/15/03
10/15/03



Effective on 12/08/2004,
pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL

For FY 2005

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 325.00

Complete if Known

Application Number 10/605,638
Filing Date October 15, 2003
First Named Inventor RAHMAN, Anis
Examiner Name KIANNI, Kaveh C.
Art Unit 2633
Attorney Docket No. 23634-0001-U1

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):

☒ Deposit Account Deposit Account Number: 50-1059 Deposit Account Name: McNees Wallace & Nurick LLC

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

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FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	N/A
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180
Total Claims		
33 - 20 or HP = 13	x \$25.00 =	\$325.00
HP = highest number of total claims paid for, if greater than 20.		
Indep. Claims		
3 - 3 or HP = 0	x \$0.00 =	0.00
HP = highest number of independent claims paid for, if greater than 3.		

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets 42 - 100 = 0 / 50 = 0 (round up to a whole number) x \$0.00 = \$0.00

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge):

Fees Paid (\$)

N/A

N/A

SUBMITTED BY

Signature

William P. Smith

Registration No. 34,931
(Attorney/Agent)

Telephone (717) 237-5260

Name (Print/Type)

William P. Smith

Date June 14, 2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT APPLICATION FEE DETERMINATION RECORD						Application or Docket Number 10/605638					
Substitute for Form PTO-875											
APPLICATION AS FILED – PART I				SMALL ENTITY		OR		OTHER THAN SMALL ENTITY			
(Column 1)		(Column 2)		(Column 3)		(Column 4)		(Column 5)			
FOR	NUMBER FILED	NUMBER EXTRA	RATE (\$)	FEE (\$)	RATE (\$)	FEE (\$)	RATE (\$)	FEE (\$)	FEE (\$)		
BASIC FEE (37 CFR 1.16(a), (b), or (c))				385							
SEARCH FEE (37 CFR 1.16(k), (l), or (m))											
EXAMINATION FEE (37 CFR 1.16(o), (p), or (q))											
TOTAL CLAIMS (37 CFR 1.16(i))	10	minus 20 =	*	X	=		X	=			
INDEPENDENT CLAIMS (37 CFR 1.16(h))	1	minus 3 =	*	X	=		X	=			
APPLICATION SIZE FEE (37 CFR 1.16(s))	If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).										
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))											
* If the difference in column 1 is less than zero, enter "0" in column 2.				TOTAL			TOTAL				
APPLICATION AS AMENDED – PART II											
(Column 1)		(Column 2)		(Column 3)		SMALL ENTITY		OR		OTHER THAN SMALL ENTITY	
AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE (\$)	ADDITIONAL FEE (\$)	RATE (\$)	ADDITIONAL FEE (\$)	RATE (\$)	ADDITIONAL FEE (\$)	ADDITIONAL FEE (\$)	ADDITIONAL FEE (\$)
Total (37 CFR 1.16(i))	33	Minus	20	X	25	=	325	X	25	=	
Independent (37 CFR 1.16(h))	3	Minus	3	X	100	=		X	100	=	
Application Size Fee (37 CFR 1.16(s))											
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))											
				+180				+180			
				TOTAL			TOTAL				
				ADD'L FEE			ADD'L FEE				
AMENDMENT B											
AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE (\$)	ADDITIONAL FEE (\$)	RATE (\$)	ADDITIONAL FEE (\$)	RATE (\$)	ADDITIONAL FEE (\$)	ADDITIONAL FEE (\$)	ADDITIONAL FEE (\$)
Total (37 CFR 1.16(i))	*	Minus	**	X	=			X	=		
Independent (37 CFR 1.16(h))	*	Minus	***	X	=			X	=		
Application Size Fee (37 CFR 1.16(s))											
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))											
				TOTAL			TOTAL				
				ADD'L FEE			ADD'L FEE				

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.
 ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".
 *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".
 The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

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